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APPLICATION FOR LETTERS PATENT

**Improving File Availability in Distributed File Storage
Systems**

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1 **TECHNICAL FIELD**

2 This invention relates to computer networks and file systems, and
3 particularly to improving file availability in distributed file storage systems.

4

5 **BACKGROUND**

6 File systems manage files and other data objects stored on computer
7 systems. File systems were originally built into the computer operating system to
8 facilitate access to files stored locally on resident storage media. As personal
9 computers became networked, some file storage capabilities were offloaded from
10 individual user machines to special storage servers that stored large numbers of
11 files on behalf of the user machines. When a file was needed, the user machine
12 simply requested the file from the server. In this server-based architecture, the file
13 system is extended to facilitate management of and access to files stored remotely
14 at the storage server over a network. Today, file storage is migrating toward a
15 distributed file system model in which files are stored on various networked
16 computers, rather than on a central storage server.

17 One problem that arises in distributed file systems concerns the availability
18 of files stored in the file system. As files are stored on various networked
19 computers, the availability of such files becomes dependent on the availability of
20 the various networked computers, which may not be as great as the availability of
21 a central storage server. One solution to this problem is to store multiple copies of
22 files on different ones of the network computers. However, this solution still
23 leaves the problem of determining which of the network computers the copies of a
24 particular file should be stored on.

1 The improving file availability in distributed file storage systems described
2 herein solves these and other problems.

3

4 **SUMMARY**

5 Improving file availability in distributed file storage systems is described
6 herein.

7 According to one aspect, replicas of a file or other objects are initially
8 placed on different ones of multiple devices using a first process. Subsequently,
9 the placement of the replicas is improved by evaluating whether any replicas of a
10 first file can be swapped with any replicas of a second file without a reduction in
11 the combined file availability of the first and second files, and swapping a replica
12 of the first file with a replica of the second file if the swapping results in no
13 reduction in the combined file availability of the first and second files.

14

15 **BRIEF DESCRIPTION OF THE DRAWINGS**

16 The same numbers are used throughout the document to reference like
17 components and/or features.

18 Fig. 1 illustrates an exemplary system including multiple devices and
19 multiple files.

20 Fig. 2 illustrates an exemplary network environment that supports a
21 serverless distributed file system.

22 Fig. 3 is a flowchart illustrating an exemplary process for placing file
23 replicas in a system.

24 Fig. 4 is a flowchart illustrating an exemplary file placement improvement
25 process.

1 Fig. 5 illustrates an exemplary evaluation of whether replicas of two files
2 can be swapped to improve file availability.

3 Fig. 6 illustrates logical components of an exemplary computing device.

4 Fig. 7 illustrates a more general computer environment.

5

6 **DETAILED DESCRIPTION**

7 The following discussion is directed to improving file availability in
8 distributed file storage systems by improving file replica placement. Multiple
9 copies or "replicas" of a file are stored on different devices in the storage system in
10 order to improve the availability of the file (e.g., if a device on which one replica
11 is stored is not available when retrieval of the file is requested, another replica can
12 be retrieved from another device which is available). When a new file replica
13 needs to be stored, a location for the file replica is determined in accordance with a
14 first process. Subsequently, attempts are made to reposition replicas of the various
15 files in order to improve the combined file availability. As used herein, the
16 combined file availability of a set of files being considered refers to how close to
17 one another the availabilities of the files in the set are. The combined availability
18 of a set (e.g., two) files is thus improved as their file availabilities become closer.
19 By improving the combined file availability of sets of files, the overall file
20 availability in the distributed file storage system is improved.

21 While the file storage system is described herein in the context of storing
22 "files", it should be noted that other types of storable data can be stored in the file
23 system. The term "file" is used for discussion purposes and is intended to include
24 other objects or essentially any other storage subject matter that may not be
25 commonly characterized as a "file", such as a portion of a file, a group of multiple

1 files, a directory or folder (whether populated with files or unpopulated), and so
2 forth.

3 Fig. 1 illustrates an exemplary system 100 including multiple devices 102
4 and multiple files 104. For ease of explanation only a few devices 102 and two
5 files 104 have been illustrated. It is to be appreciated that system 100 may include
6 any number of devices (e.g., hundreds, thousands, hundreds of thousands, etc.) and
7 any number of files (e.g., tens of thousands, hundreds of thousands, millions, etc.).
8 The devices 102 collectively implement a distributed file system to store files
9 including files 104. Multiple replicas of each file 104 are stored on different
10 devices 102. In the illustrated example, three replicas of each file 104 are stored
11 on devices 102, although larger or smaller numbers of replicas may alternatively
12 be stored.

13 Determining the location where the file replicas should be stored (that is, on
14 which devices 102 the file replicas should be stored) is a two part process. The
15 first part, referred to as initial placement, determines on which device 102 a
16 particular file replica should be stored when the replica is not currently stored
17 anywhere or its previous storage location is no longer available (in other words,
18 the replica is "homeless"). The second part, referred to as placement
19 improvement, determines whether replicas should be moved to different devices
20 after their initial placement. This two part process is discussed in additional detail
21 below.

22 Fig. 2 illustrates an exemplary network environment 120 that supports a
23 serverless distributed file system. Four client computing devices 122, 124, 126,
24 and 128 are coupled together via a data communications network 130. Although
25

1 four computing devices are illustrated, different numbers (either greater or fewer
2 than four) may be included in network environment 120.

3 Network 130 represents any of a wide variety of data communications
4 networks. Network 130 may include public portions (e.g., the Internet) as well as
5 private portions (e.g., an internal corporate Local Area Network (LAN)), as well
6 as combinations of public and private portions. Network 130 may be implemented
7 using any one or more of a wide variety of conventional communications media
8 including both wired and wireless media. Any of a wide variety of
9 communications protocols can be used to communicate data via network 130,
10 including both public and proprietary protocols. Examples of such protocols
11 include TCP/IP, IPX/SPX, NetBEUI, etc.

12 Computing devices 122-128 represent any of a wide range of computing
13 devices, and each device may be the same or different. By way of example,
14 devices 122-128 may be desktop computers, laptop computers, handheld or pocket
15 computers, personal digital assistants (PDAs), cellular phones, Internet appliances,
16 consumer electronics devices, gaming consoles, and so forth.

17 Two or more of devices 122-128 operate to implement a serverless
18 distributed file system. The actual devices participating in the serverless
19 distributed file system can change over time, allowing new devices to be added to
20 the system and other devices to be removed from the system. Each device 122-
21 126 that implements (participates in) the distributed file system has portions of its
22 mass storage device(s) (e.g., hard disk drive) allocated for use as either local
23 storage or distributed storage. The local storage is used for data that the user
24 desires to store on his or her local machine and not in the distributed file system
25 structure. The distributed storage portion is used for data that the user of the

1 device (or another device) desires to store within the distributed file system
2 structure.

3 In the illustrated example of Fig. 2, certain devices connected to network
4 130 have one or more mass storage devices that include both a distributed portion
5 and a local portion. The amount allocated to distributed or local storage varies
6 among the devices. For example, device 122 has a larger percentage allocated for
7 a distributed system portion 140 in comparison to the local portion 142; device
8 124 includes a distributed system portion 144 that is approximately the same size
9 as the local portion 146; and device 126 has a smaller percentage allocated for a
10 distributed system portion 148 in comparison to the local portion 150. The storage
11 separation into multiple portions may occur on a per storage device basis (e.g., one
12 hard drive is designated for use in the distributed system while another is
13 designated solely for local use), and/or within a single storage device (e.g., part of
14 one hard drive may be designated for use in the distributed system while another
15 part is designated for local use). The amount allocated to distributed or local
16 storage may vary over time. Other devices connected to network 130, such as
17 computing device 128, may not implement any of the distributed file system and
18 thus do not have any of their mass storage device(s) allocated for use by the
19 distributed system. Hence, device 128 has only a local portion 152.

20 A distributed file system 160 operates to store multiple replicas of files on
21 different computing devices 122-126. When a new file is created by the user of a
22 computer, he or she has the option of storing the file on the local portion of his or
23 her computing device, or alternatively in the distributed file system. If the file is
24 stored in the distributed file system 160, the file will be stored in the distributed
25 system portion of the mass storage device(s) of one or more of devices 122-126.

1 The user creating the file typically has no ability to control which device 122-126
2 the file is stored on, nor any knowledge of which device 122-126 the file is stored
3 on. Additionally, replicated copies of the file will be saved, allowing the user to
4 subsequently retrieve the file even if one of the computing devices 122-126 on
5 which the file is saved is unavailable (e.g., is powered-down, is malfunctioning,
6 etc.).

7 The distributed file system 160 is implemented by one or more components
8 on each of the devices 122-126, thereby obviating the need for any centralized
9 server to coordinate the file system. These components operate to determine
10 where particular file replicas are stored, how many replicas of the files are created
11 for storage on different devices, and so forth. Exactly which device will store
12 which files depends on numerous factors, including the number of devices in the
13 distributed file system, the storage space allocated to the file system from each of
14 the devices, how many replicas of the file are to be saved, the number of files
15 already stored on the devices, and so on. The distributed file system 160 does not
16 manage the storage disk (or other mass storage device) directly, but rather relies on
17 existing file systems on local machines, such as those file systems integrated into
18 operating systems (e.g., the Windows NT® file system).

19 Distributed file system 160 is designed to be scalable to support large
20 numbers of computers within system 160. Protocols and data structures used by
21 the components on the devices in system 160 are designed so as not to be
22 proportional to the number of computers in the system, thereby allowing them to
23 readily scale to large numbers of computers.

24 The files stored by the file system are distributed among the various devices
25 122-126 and stored in encrypted form. When a new file is created, the device on

1 which the file is being created encrypts the file prior to communicating the file to
2 other device(s) for storage. The directory entry (which includes the file name) for
3 a new file is also communicated to other device(s) for storage, which need not be
4 (and typically will not be) the same device(s) on which the encrypted file is stored.
5 Additionally, if a new folder or directory is created, the directory entry (which
6 includes the folder name or directory name) is also communicated to the other
7 device(s) for storage. As used herein, a directory entry refers to any entry that can
8 be added to a file system directory, including both file names and directory (or
9 folder) names.

10 Each directory entry includes the name of the corresponding file, an
11 identification of the computers at which replicas of the file are stored, and file
12 verification data that allows the contents of the file to be verified as corresponding
13 to the directory entry. The file verification data can take a variety of different
14 forms, and in one implementation is a hash value generated by applying a
15 cryptographically secure hash function to the file, such as MD5 (Message Digest
16 5), SHA-1 (Secure Hash Algorithm-1), etc. When a file is retrieved from storage,
17 the retrieving computer can re-generate the hash value and compare it to the hash
18 value in the directory entry to verify that the computer received the correct file. In
19 another implementation, the file verification data is a combination of: a file
20 identification number (e.g., a unique identifier of the file), a file version number,
21 and the name of the user whose signature is on the file.

22 Directories are maintained in distributed file system 160 by groups of
23 computers organized into directory groups. In one implementation, each directory
24 group is a Byzantine-fault-tolerant group, which is a group of computers that can
25 be used to store information and/or perform other actions even though a certain

1 number of those computers are faulty (compromised or otherwise unavailable). A
2 computer can be compromised in a variety of different manners, such as a
3 malicious user operating the computer, a malicious program running on the
4 computer, etc. Any type of behavior can be observed from a compromised
5 computer, such as refusing to respond to requests, intentionally responding to
6 requests with incorrect or garbage information, etc. The Byzantine-fault-tolerant
7 group is able to accurately store information and/or perform other actions despite
8 the presence of such compromised computers. Byzantine groups are well-known
9 to those skilled in the art, and thus will not be discussed further except as they
10 pertain to the present invention.

11 The distributed file system 160 is designed to prevent unauthorized users
12 from reading data stored on one of the devices 122-126. Thus, a file created by
13 device 122 and stored on device 124 is not readable by the user of device 124
14 (unless he or she is authorized to do so). In order to implement such security, the
15 contents of files as well as all file and directory names in directory entries are
16 encrypted, and only authorized users are given the decryption key. Thus, although
17 device 124 may store a file created by device 122, if the user of device 124 is not
18 an authorized user of the file, the user of device 124 cannot decrypt (and thus
19 cannot read) either the contents of the file or the file name in its directory entry.

20 Generally, the process for storing a file in serverless distributed file system
21 160 is as follows. Initially, a new file storage request is received at a client
22 computing device. The client encrypts the file and the file name and generates a
23 file contents hash. The client sends the encrypted file name and file contents hash
24 to the appropriate Byzantine-fault-tolerant directory group along with a request to
25 create a directory entry. The directory group validates the request, such as by

1 verifying that the file name does not conflict with an existing name and that the
2 client has permission to do what it is requesting to do. If the request is not
3 validated then the request fails. However, if the request is validated, then the
4 directory group generates a directory entry for the new file. The directory group
5 also generates the replicas for the file, determines the placement for the replica,
6 and saves the replicas to the proper computers, and updates the directory entry for
7 the file accordingly.

8 Every computer 122 – 126 in distributed file system 160 can have three
9 functions: it can be a client for a local user, it can be a repository for encrypted
10 copies of files stored in the system, and it can be a member of a group of
11 computers that maintain one or more directories (that is, a member of a directory
12 group). A computer 122-126 may perform any one or more of these three
13 functions, and which function(s) a computer performs may change over time.

14 Fig. 3 is a flowchart illustrating an exemplary process 200 for placing file
15 replicas in a system. Process 200 is implemented by one or more devices
16 responsible for maintaining one or more directories. Process 200 is idle (act 202)
17 until one of two events occurs – a homeless replica needs placement, or a replica
18 swap is triggered. When a homeless replica exists, the homeless replica needs
19 placement and an initial placement part of process 200 is invoked. A replica may
20 be homeless for a variety of different reasons, such as creation of a new file, a
21 device previously storing a replica is removed from the system (e.g., to no longer
22 be part of distributed file system 160 of Fig. 2, or because it has broken), a replica
23 was evicted from a device because space was needed on the device for local
24 storage, the number of replicas for the file has increased, and so forth. An initial
25

1 location for the new replica is determined (act 204) and the replica is stored at the
2 determined location (act 206).

3 In one implementation, the initial location is determined in act 204 by
4 randomly selecting a device. The random selection may be based on a truly
5 random function or alternatively a pseudo-random function. The set of devices
6 from which the random selection is made may be all devices in the system (e.g.,
7 the devices of distributed file system 160 of Fig. 2), or alternatively fewer devices
8 (e.g., only those devices in the system that the device(s) implementing the process
9 200 is aware of).

10 Alternatively, the determination in act 204 may be made in other manners,
11 such as by selecting the device that has the highest device availability (or one of
12 the highest device availabilities), selecting the device that has the lowest device
13 availability (or one of the lowest device availabilities), selecting the device with
14 the largest amount (or one of the largest amounts) of available space for file
15 storage, selecting the device with the smallest amount (or one of the smallest
16 amounts) of available space for file storage, selecting the newest device (or one of
17 the newest devices), selecting the oldest device (or one of the oldest devices), and
18 so forth. In yet another alternative, the determination in act 204 is made by
19 assigning an order according to some criteria (e.g., randomly, by IP address,
20 alphabetically by name, etc.) to a set of devices on which replicas may be stored
21 and, each time a new replica is to be stored selecting the next device in accordance
22 with this order.

23 Various other factors may also be considered in making the determination
24 of act 204, regardless of whether the device selection is random or otherwise. For
25 example, if the selected device does not currently have sufficient space to store the

1 replica then another device is selected (e.g., according to the same criteria as the
2 originally selected device but ignoring the originally selected device). By way of
3 another example, if the selected device is not currently available (e.g., is
4 malfunctioning, turned off, etc.) then another device is selected, or alternatively if
5 the device is not currently available then it may not be included as a device in the
6 set of devices from which the selection is made. By way of yet another example,
7 if the selected device is owned by the same person/entity as another device on
8 which another replica of the same file is already stored then another device is
9 selected, or alternatively such a commonly-owned device may not be included as a
10 device in the set of devices from which the selection is made.

11 Once the homeless replica is initially placed, process 200 returns to idle
12 (act 202). Given the manner in which the initial placement is determined (e.g.,
13 randomly), it is often likely that file availability in the system can be improved by
14 relocating the initially placed replica. Thus, a placement improvement part of the
15 process is invoked when a replica swap is triggered. Once a replica swap is
16 triggered, two files are selected (act 208). An evaluation is then made as to
17 whether replicas of the files can be swapped with one another in order to improve
18 the combined file availability of the selected files (act 210). If the combined file
19 availability of the selected files cannot be improved, then process 200 returns to
20 idle (act 202). However, if the combined file availability of the selected files can
21 be improved (or at least not reduced), then replicas of the files are swapped,
22 thereby improving the combined file availability (act 212). Process 200 then
23 returns to idle (act 202).

24 The combined file availability of the two files can be improved if swapping
25 any replica of the one file with any replica of the other file brings the availabilities

1 of the two files closer together. It is to be appreciated that, by bringing the
2 availabilities of the two files closer together, situations can arise where the
3 availability of one file is increased while the availability of the other file is
4 decreased.

5 A replica swap can be triggered, and the file placement improvement
6 portion of process 200 invoked, in a variety of circumstances. In one
7 implementation, the replica swap is triggered at regular or irregular intervals (e.g.,
8 once every 200 milliseconds). Alternatively, the file system may be configured so
9 that the file placement improvement portion of process 200 does not consume
10 more than a particular amount (e.g., 1%) of resources (e.g., of network bandwidth,
11 processor utilization, disk access time, combinations thereof, etc.). The factors
12 which go into triggering the file placement improvement portion of process 200
13 may be static (e.g., pre-programmed into the system) or alternatively dynamic
14 (e.g., an interval between triggers may be increased at times where the file system
15 is not being used very heavily, or the amount of resources to be consumed by the
16 file placement improvement process may be increased if the combined file
17 availability of all (or a threshold number) of files in the file system becomes too
18 low, etc.).

19 The replica swap may be triggered by one or more devices in the file
20 storage system that are responsible for maintaining files in the system, or
21 alternatively some other device or devices. For example, there may be a device in
22 the file system with the responsibility for monitoring and/or managing the
23 combined performance of the file system, and this device is responsible for
24 triggering the replica swap.

1 Fig. 4 is a flowchart illustrating an exemplary file placement improvement
2 process 250. The file placement improvement process 250 is, in accordance with
3 one implementation, a more detailed description of acts 208 – 212 of Fig. 3. The
4 process 205 is discussed with reference to Fig. 5 as well.

5 In the illustrated examples of Figs. 4 and 5, a hierarchical file storage
6 structure is employed that includes one or more namespace roots each capable of
7 supporting one or more subtrees of directories or folders, and with each subtree
8 being capable of supporting one or more additional subtrees. A directory can be
9 viewed as a simulated file folder, being capable of holding zero or more files
10 and/or zero or more other directories. A subtree refers to one or more directories
11 and includes a root (it may also include a namespace root), and has the property
12 that the path from the subtree root to all members of the subtree is within the
13 subtree itself.

14 Each subtree is managed or maintained by a group of one or more devices
15 referred to as a directory group. A directory group can manage a subtree or
16 alternatively an arbitrary set of directories within the namespace. One or more
17 modules of the group of devices are responsible for implementing directory
18 services to manage the subtree(s) assigned to the directory group, including all
19 files in the subtree(s). In situations where the directory group is made up of
20 multiple devices, those devices operate collectively to manage the subtree(s)
21 assigned to the directory group. In one implementation, directory groups can
22 create new directory groups and assign subtree(s) to these new groups, thereby
23 alleviating some of the management responsibilities of the creating group.
24 Alternatively, the ability to create new directory groups and assign subtree(s) to
25 those directory groups may be restricted to only certain devices.

1 Fig. 5 illustrates two exemplary directory groups 270 and 272. Although a
2 file system may typically include additional directory groups, only two directory
3 groups have been illustrated in Fig. 5 for ease of explanation and in order to avoid
4 cluttering the drawings. Initially, in Fig. 4, a directory group decides to attempt to
5 improve file availability in the file system (act 252). This decision to attempt to
6 improve file availability may be made by the device or devices managing the
7 directory group, or alternatively some other device as discussed above. The
8 directory group selects a directory group with which to participate in the
9 placement improvement process (act 254). The selection in act 254 may be
10 performed randomly or alternatively in some other manner. For example, a device
11 managing the directory group may keep track of all other devices in the file system
12 managing different directory groups, and have an ordering associated with those
13 other devices or directory groups and select, in act 254, devices or directory
14 groups in that order. The directory group selected in act 254 may be a different
15 directory group than the group that made the decision in act 252, or alternatively
16 the same group. In other words, a directory group may participate with itself in
17 the placement improvement process.

18 For purposes of explanation, assume that two different directory groups are
19 participating in the placement improvement process, directory groups 270 and 272
20 of Fig. 5. As illustrated in Fig. 5, directory group 270 is responsible for managing
21 storage of a file A that has three replicas 274, 276, and 278, stored on three
22 devices 280, 282, and 284, respectively. Additionally, directory group 272 is
23 responsible for managing storage of a file B that has three replicas 286, 288, and
24 290, stored on three devices 292, 294, and 296, respectively. Although each
25 directory group 270 and 272 is typically responsible for managing storage of

1 additional files, these additional files have not been illustrated in Fig. 5 so as to
2 avoid cluttering the drawings. Additionally, it should be noted that multiple
3 directory groups can store files to the same device.

4 Returning to Fig. 4, the directory group (e.g., directory group 270)
5 communicates a request to the directory group selected in act 254 (e.g., directory
6 group 272) to participate in the placement improvement process (act 256). Each
7 of the directory groups 270 and 272 selects a file for the placement improvement
8 process (act 258). Each directory group 270 and 272 selects a file in act 258 in
9 accordance with any of a wide variety of processes. In one implementation, each
10 directory group 270 and 272 selects a file that they manage randomly in act 258.
11 In an alternate implementation, one of the directory groups selects, from all the
12 files that it manages, the file with the lowest availability (or one of the lowest
13 availabilities) while the other directory group selects a file at random. In yet
14 another alternate implementations, one of the directory group selects, from all the
15 files that it manages, the file with the lowest availability (or one of the lowest
16 availabilities) while the other directory group selects, from all the files that it
17 manages, the file with the highest availability (or one of the highest availabilities).
18 For example, the directory group initiating the attempt in act 252 may select the
19 file that it manages with the lowest availability while the selected directory group
20 may randomly select a file that it manages. Alternatively, other file selection
21 processes may be used, such as biased random selection, in which one directory
22 group selects a file randomly but with a bias towards low-availability files, or in
23 which one directory group selects a file randomly but with a bias toward high-
24 availability files. Such a bias could involve weighting factors, cutoff availability
25 thresholds, or other means.

1 For purposes of explanation, assume that, in act 258, directory group 270
2 selects file A, and directory group 272 selects file B. Directory groups 270 and
3 272 then evaluate whether a replica swap will improve the combined file
4 availability of the selected files (act 260). The evaluation of act 260 may be
5 performed by directory group 270, directory group 272, or by both directory
6 groups. The evaluation may also involve communicating information (such as file
7 availability or machine availability) from one directory group to another.

8 The evaluation of act 260 may involve considering all possible replica
9 exchanges for the two files and checking whether any of them improve the
10 combined file availability of the selected files. These swaps or exchanges refer to
11 swapping the devices on which the replicas are stored. In the illustrated example
12 of Fig. 5, a swap of replica 274 with replica 286 is evaluated, and a file availability
13 resulting from the considered swap 300 is determined. The resulting file
14 availability refers to the file availability of file A and the file availability of file B
15 after the replica swap. The remaining possible replica exchanges for the two files
16 are illustrated as 302-316, each having a file availability resulting from the swap.

17 A check is then made as to whether swapping any of the replicas of the
18 selected files will improve (or at least not reduce) the combined file availability of
19 the selected files (act 262). This check is made by comparing the file availability
20 results that would result from swapping the various replicas (300-316) to the file
21 availabilities of file A and file B if no replicas are swapped. If the file availability
22 results from any of the swappings (300-316) bring the file availabilities of file A
23 and file B closer than they were without performing any swapping, then a swap
24 will improve combined file availability between the two files; otherwise, a swap
25 will not improve combined file availability between the two files.

1 If a swap will not improve combined file availability between two the files,
2 then the process 250 ends. However, if a swap will improve combined file
3 availability (or at least not reduce combined file availability) between the two
4 files, then a file replica swap (300-316) which results in the greatest improvement
5 of combined file availability between the two files (brings the file availabilities of
6 the two files closest together) is performed (act 264). In one implementation, only
7 one set of replicas is swapped. Alternatively, depending on the number of replicas
8 and the file availabilities, multiple swaps may be evaluated in act 260 and
9 performed in act 264 (that is, two or more sets of replicas may be swapped).

10 The swap is performed by the directory group(s) communicating requests to
11 the devices storing the replicas to be swapped to each transfer their replica to the
12 other (and delete their copy of the replica after it has been transferred). Any
13 necessary updates are also made in the directory group (e.g., the directory entries
14 are updated to reflect which devices store replicas of which files after the swap).

15 In one implementation, the evaluation of act 260 can be performed without
16 actually swapping the files. That is, file availabilities resulting from the swaps can
17 be calculated without actually moving replicas between devices. Alternatively,
18 different evaluation methodologies may be used that do require actual swapping of
19 the files.

20 The availability of a file is a function of the availability of the devices on
21 which replicas of the file are stored. The availability of a device can be calculated
22 in a variety of different manners. In one implementation, the availability of a
23 device refers to how often the device is available to (accessible by) other devices
24 in the file system. Thus, for example, the device is available if it is running, is
25 coupled to the network, and is functioning correctly. If the device is not running

1 (for example, has been turned off), is not coupled to the network, has experienced
2 a software and/or hardware crash, etc., the device is not available.

3 In one implementation, each directory group calculates the availability of
4 each device on which a replica of a file managed by the directory group is stored.
5 The directory group sends, at regular or irregular intervals, a communication or
6 request that requires the other device to respond. The communication or request
7 sent to the other device can take any of a wide variety of forms, such as a
8 conventional "pinging" of the other device. If a response is received from the
9 other device, then the other device is determined to be available at that time. If a
10 response is not received from the other device, then the other device is determined
11 to be not available at that time. Based on these determinations of available and not
12 available, the device availability can be readily calculated.

13 The availability of a file is then calculated by considering the availability of
14 each device on which a replica of the file is stored. For each device on which a
15 replica of the file is stored, a value is generated that reflects the availability of the
16 device. In one implementation, this value is generated by taking the negative
17 logarithm of the fraction of time that the device is not available. This value then
18 reflects the number of "nines" in the decimal expansion of the available time for
19 the device. For example, if a device is available 99% of the time, it is not
20 available 1% or .01 of the time. The negative logarithm of .01 is 2 (i.e., -
21 $\log(.01)=2$), which is the number of nines in the fraction of time the device is
22 available (i.e., two nines).

23 Once this value is calculated for each device on which a replica of the file is
24 stored, the values calculated for the devices can be combined by summing the
25 values. This sum is the availability of the file. By way of example, assume that

1 device 280 of Fig. 5 is available 99% of the time, device 282 is available 90% of
2 the time, and device 284 is available 43% of the time. The availability of file A
3 would be 3.24 (2+1+0.24). Thus, it can be seen that the file availabilities can be
4 calculated based on the device availabilities without having to actually copy
5 replicas between devices.

6 Table I below illustrates example availabilities of file A and file B for the
7 various swaps (300-316), assuming device 280 is available 99% of the time (with
8 $-\log(.01)=2$), device 282 is available 90% of the time (with $-\log(.1)=1$), device 284
9 is available 45% of the time (with $-\log(.55)=.26$), device 292 is available 99.9% of
10 the time (with $-\log(.001)=3$), device 294 is available 99.99% of the time (with
11 $-\log(.0001)=4$), and device 296 is available 84% of the time (with $-\log(.16)=.8$).
12 The absolute value of the difference between these file availabilities is also shown
13 in Table I.

14 **Table I**

15 Swap	16 File A Availability	File B Availability	Absolute Difference
300	4.26	6.80	2.54
302	5.26	5.80	0.54
304	2.06	9.00	6.94
306	5.26	5.80	0.54
308	6.26	4.80	1.46
310	3.06	8.00	4.94
312	6.00	5.06	0.94
314	7.00	4.06	2.94
316	3.80	7.26	3.46

23 The original file availability for file A (without swapping any replicas) is
24 3.26, and the original file availability for file B (without swapping any replicas) is
25

1 7.80. Multiple swaps 300-316 could result in an improved combined file
2 availability between the two files – the absolute difference between the original
3 file availability for file A and file B is 4.54, so any swap that results in an absolute
4 difference less than 4.54 is an improvement (i.e., swaps 300, 302, 306, 308, 312,
5 314, and 316). Swaps 304 and 310 both reduce file availability. The greatest
6 improvement of swaps 300-316 is from swaps 302 and 306, each of which result
7 in file availabilities for file A and file B having an absolute difference of 0.54.
8 One of these swaps is selected (e.g., randomly) in act 264 and performed.

9 Various other factors may also be considered in making the determination
10 of which (if any) of swaps 300-316 to perform. For example, there may be
11 insufficient storage space on one of the files to store a particular replica, in which
12 case a swap will not be performed that involves storing a replica to a device
13 having insufficient storage space (e.g., if the replicas of file A are much larger
14 than the replicas of file B, one of the devices 292, 294, or 296 may not have
15 sufficient storage space to store a replica of file A). By way of another example, it
16 may be desirable to not have replicas of the same file stored on devices owned by
17 the same person/entity, in which case a swap will not be performed that involves
18 storing a replica of a file to a device owned by a person/entity that already has a
19 replica of the file stored thereon.

20 In the examples above, the files have the same number of replicas.
21 Alternatively, different files may have different numbers of replicas. By way of
22 another example, the file system may be configured to have an overall replication
23 factor of 3.5, so roughly half of the files would have three replicas each and
24 roughly half of the files would have four replicas each.

1 Thus, it can be seen that modules and techniques described herein are
2 readily scalable as they are not dependent on the overall number of devices in the
3 file system (rather, the directory groups initially place and subsequently swap
4 replicas based on devices storing replicas of files maintained by the directory
5 groups, not all devices in the system). Additionally, the modules and techniques
6 described herein operate in a distributed manner without the need for a central
7 control to coordinate either initial replica placement or subsequent placement
8 improvement. Furthermore, the modules and techniques described herein operate
9 in an iterative manner, allowing changes to be made swap-by-swap rather than
10 requiring placement decisions for all replicas in the file system to be made at a
11 single time. This further allows the placement to be adaptive to changes in the file
12 system, such as addition or removal of devices, increases or decreases in the
13 number of replicas for a file(s), and so forth.

14 Fig. 6 illustrates logical components of an exemplary computing device 320
15 that is representative of any one of the devices 102 of Fig. 1, devices 122-126 of
16 Fig. 2 that participate in the distributed file system 160, or devices 280, 282, 284,
17 292, 294, or 296 of Fig. 5. Computing device 320 includes a server component
18 322, a client component 324, a memory 326, a mass storage device 328, and a
19 distributed file system interface 330. Computing device 320 also typically
20 includes additional components (e.g., a processor), however these additional
21 components have not been shown in Fig. 6 so as not to clutter the drawings. A
22 more general description of a computer architecture with various hardware and
23 software components is described below with reference to Fig. 7.

24 Memory 326 can be any of a wide variety of conventional volatile and/or
25 nonvolatile memories, such as RAM, ROM, Flash memory, and so on. Mass

1 storage device 328 can be any of a wide variety of conventional nonvolatile
2 storage devices, such as a magnetic disk, optical disk, Flash memory, and so forth.
3 Mass storage device 328 is partitioned into a distributed system portion and a local
4 portion. Although only one mass storage device 328 is illustrated in Fig. 6,
5 computing device 320 may include multiple storage devices 328 (of different
6 types, or alternatively all of the same type).

7 Computing device 320 is intended to be used in a serverless distributed file
8 system, and as such includes both a server component 322 and client component
9 324. Server component 322 handles requests when device 320 is responding to a
10 request involving a file or directory entry stored (or to be stored) in storage device
11 328, while client component 324 handles the issuance of requests by device 320
12 for files or directories stored (or to be stored) in the distributed file system. Client
13 component 324 and server component 322 operate independently of one another.
14 Thus, situations can arise where the serverless distributed file system 160 causes
15 files being stored by client component 324 to be stored in mass storage device 328
16 by server component 322.

17 Client component 324 includes a storage and retrieval control module 332,
18 which along with interface 330, manages access to the serverless distributed file
19 system 160 for the creation, storage, retrieval, reading, writing, modifying, and
20 verifying of files and directories on behalf of computing device 320. Control
21 module 332 uses a file encryption module 334 to encrypt files and a directory
22 encryption module 336 to encrypt file and directory names in directory entries.

23 The server component 322 includes a distributed system control module
24 340 that manages access to the encrypted files 338. It communicates with mass
25 storage device 328 to store and retrieve encrypted files 338. Distributed system

1 control module 340 also maintains a record of the directory entries (not shown) in
2 memory 326 and/or mass storage device 328 that are stored at computing device
3 320 (or alternatively that are stored elsewhere in the serverless distributed file
4 system).

5 In situations where computing device 320 manages a directory group,
6 server component 322 also includes a directory group control module 342.
7 Directory group control module 342 manages the various subtrees that computing
8 device 320 is responsible for, and also includes an initial placement module 344
9 and a replica swap module 346. Initial placement module 344 determines the
10 initial placement for new replicas as discussed above, while replica swap module
11 346 performs the placement improvement process as discussed above.

12 In the discussions above, reference is made to files being encrypted. In
13 some systems, files are encrypted prior to being replicated and thus the replicas
14 being placed in the discussions above are encrypted. In other systems, however,
15 the files need not be encrypted prior to being replicated and un-encrypted replicas
16 are placed by the above-described modules and techniques.

17 Fig. 7 illustrates a more general computer environment 400. The computer
18 environment 400 is only one example of a computing environment and is not
19 intended to suggest any limitation as to the scope of use or functionality of the
20 computer and network architectures. Neither should the computer environment
21 400 be interpreted as having any requirement regarding the inclusion (or
22 exclusion) of any components or the coupling or combination of components
23 illustrated in the exemplary computer environment 400.

24 Computer environment 400 includes a general-purpose computing device in
25 the form of a computer 402. The components of computer 402 can include, but

1 are not limited to, one or more processors or processing units 404, a system
2 memory 406, and a system bus 408 that couples various system components
3 including the processor 404 to the system memory 406.

4 The system bus 408 represents one or more of any of several types of bus
5 structures, including a memory bus or memory controller, a peripheral bus, an
6 accelerated graphics port, and a processor or local bus using any of a variety of
7 bus architectures. By way of example, such architectures can include an Industry
8 Standard Architecture (ISA) bus, a Micro Channel Architecture (MCA) bus, an
9 Enhanced ISA (EISA) bus, a Video Electronics Standards Association (VESA)
10 local bus, and a Peripheral Component Interconnects (PCI) bus also known as a
11 Mezzanine bus.

12 Computer 402 typically includes a variety of computer readable media.
13 Such media can be any available media that is accessible by computer 402 and
14 includes both volatile and non-volatile media, removable and non-removable
15 media.

16 The system memory 406 includes computer readable media in the form of
17 volatile memory, such as random access memory (RAM) 410, and/or non-volatile
18 memory, such as read only memory (ROM) 412. A basic input/output system
19 (BIOS) 414, containing the basic routines that help to transfer information
20 between elements within computer 402, such as during start-up, is stored in ROM
21 412. RAM 410 typically contains data and/or program modules that are
22 immediately accessible to and/or presently operated on by the processing unit 404.

23 Computer 402 may also include other removable/non-removable,
24 volatile/non-volatile computer storage media. By way of example, Fig. 7
25 illustrates a hard disk drive 416 for reading from and writing to a non-removable,

1 non-volatile magnetic media (not shown), a magnetic disk drive 418 for reading
2 from and writing to a removable, non-volatile magnetic disk 420 (e.g., a “floppy
3 disk”), and an optical disk drive 422 for reading from and/or writing to a
4 removable, non-volatile optical disk 424 such as a CD-ROM, DVD-ROM, or other
5 optical media. The hard disk drive 416, magnetic disk drive 418, and optical disk
6 drive 422 are each connected to the system bus 408 by one or more data media
7 interfaces 426. Alternatively, the hard disk drive 416, magnetic disk drive 418,
8 and optical disk drive 422 can be connected to the system bus 408 by one or more
9 interfaces (not shown).

10 The disk drives and their associated computer-readable media provide non-
11 volatile storage of computer readable instructions, data structures, program
12 modules, and other data for computer 402. Although the example illustrates a
13 hard disk 416, a removable magnetic disk 420, and a removable optical disk 424,
14 it is to be appreciated that other types of computer readable media which can store
15 data that is accessible by a computer, such as magnetic cassettes or other magnetic
16 storage devices, flash memory cards, CD-ROM, digital versatile disks (DVD) or
17 other optical storage, random access memories (RAM), read only memories
18 (ROM), electrically erasable programmable read-only memory (EEPROM), and
19 the like, can also be utilized to implement the exemplary computing system and
20 environment.

21 Any number of program modules can be stored on the hard disk 416,
22 magnetic disk 420, optical disk 424, ROM 412, and/or RAM 410, including by
23 way of example, an operating system 426, one or more application programs 428,
24 other program modules 430, and program data 432. Each of such operating
25 system 426, one or more application programs 428, other program modules 430,

1 and program data 432 (or some combination thereof) may implement all or part of
2 the resident components that support the distributed file system.

3 A user can enter commands and information into computer 402 via input
4 devices such as a keyboard 434 and a pointing device 436 (e.g., a "mouse").
5 Other input devices 438 (not shown specifically) may include a microphone,
6 joystick, game pad, satellite dish, serial port, scanner, and/or the like. These and
7 other input devices are connected to the processing unit 404 via input/output
8 interfaces 440 that are coupled to the system bus 408, but may be connected by
9 other interface and bus structures, such as a parallel port, game port, or a universal
10 serial bus (USB).

11 A monitor 442 or other type of display device can also be connected to the
12 system bus 408 via an interface, such as a video adapter 444. In addition to the
13 monitor 442, other output peripheral devices can include components such as
14 speakers (not shown) and a printer 446 which can be connected to computer 402
15 via the input/output interfaces 440.

16 Computer 402 can operate in a networked environment using logical
17 connections to one or more remote computers, such as a remote computing device
18 448. By way of example, the remote computing device 448 can be a personal
19 computer, portable computer, a server, a router, a network computer, a peer device
20 or other common network node, and the like. The remote computing device 448 is
21 illustrated as a portable computer that can include many or all of the elements and
22 features described herein relative to computer 402.

23 Logical connections between computer 402 and the remote computer 448
24 are depicted as a local area network (LAN) 450 and a general wide area network
25

1 (WAN) 452. Such networking environments are commonplace in offices,
2 enterprise-wide computer networks, intranets, and the Internet.

3 When implemented in a LAN networking environment, the computer 402 is
4 connected to a local network 450 via a network interface or adapter 454. When
5 implemented in a WAN networking environment, the computer 402 typically
6 includes a modem 456 or other means for establishing communications over the
7 wide network 452. The modem 456, which can be internal or external to computer
8 402, can be connected to the system bus 408 via the input/output interfaces 440 or
9 other appropriate mechanisms. It is to be appreciated that the illustrated network
10 connections are exemplary and that other means of establishing communication
11 link(s) between the computers 402 and 448 can be employed.

12 In a networked environment, such as that illustrated with Fig. 2, program
13 modules depicted relative to the computer 402, or portions thereof, may be stored
14 in a remote memory storage device. By way of example, remote application
15 programs 458 reside on a memory device of remote computer 448. For purposes
16 of illustration, application programs and other executable program components
17 such as the operating system are illustrated herein as discrete blocks, although it is
18 recognized that such programs and components reside at various times in different
19 storage components of the computing device 402, and are executed by the data
20 processor(s) of the computer.

21 Various modules and techniques may be described herein in the general
22 context of computer-executable instructions, such as program modules, executed
23 by one or more computers or other devices. Generally, program modules include
24 routines, programs, objects, components, data structures, etc. that perform
25 particular tasks or implement particular abstract data types. Typically, the

1 functionality of the program modules may be combined or distributed as desired in
2 various embodiments.

3 An implementation of these modules and techniques may be stored on or
4 transmitted across some form of computer readable media. Computer readable
5 media can be any available media that can be accessed by a computer. By way of
6 example, and not limitation, computer readable media may comprise "computer
7 storage media" and "communications media."

8 "Computer storage media" include volatile and non-volatile, removable and
9 non-removable media implemented in any method or technology for storage of
10 information such as computer readable instructions, data structures, program
11 modules, or other data. Computer storage media include, but are not limited to,
12 RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM,
13 digital versatile disks (DVD) or other optical storage, magnetic cassettes, magnetic
14 tape, magnetic disk storage or other magnetic storage devices, or any other
15 medium which can be used to store the desired information and which can be
16 accessed by a computer.

17 "Communication media" typically embody computer readable instructions,
18 data structures, program modules, or other data in a modulated data signal, such as
19 carrier wave or other transport mechanism. Communication media also include
20 any information delivery media. The term "modulated data signal" means a signal
21 that has one or more of its characteristics set or changed in such a manner as to
22 encode information in the signal. By way of example, and not limitation,
23 communication media include wired media such as a wired network or direct-
24 wired connection, and wireless media such as acoustic, RF, infrared, and other
25

1 wireless media. Combinations of any of the above are also included within the
2 scope of computer readable media.

3

4 **Conclusion**

5 Although the description above uses language that is specific to structural
6 features and/or methodological acts, it is to be understood that the invention
7 defined in the appended claims is not limited to the specific features or acts
8 described. Rather, the specific features and acts are disclosed as exemplary forms
9 of implementing the invention.

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